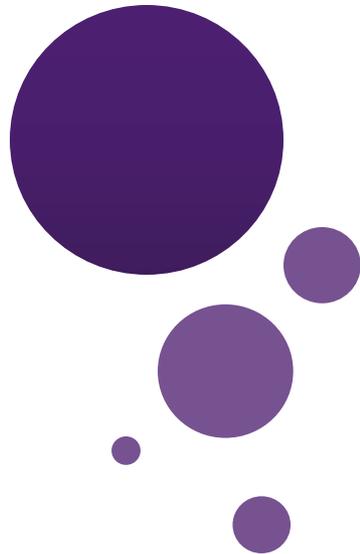




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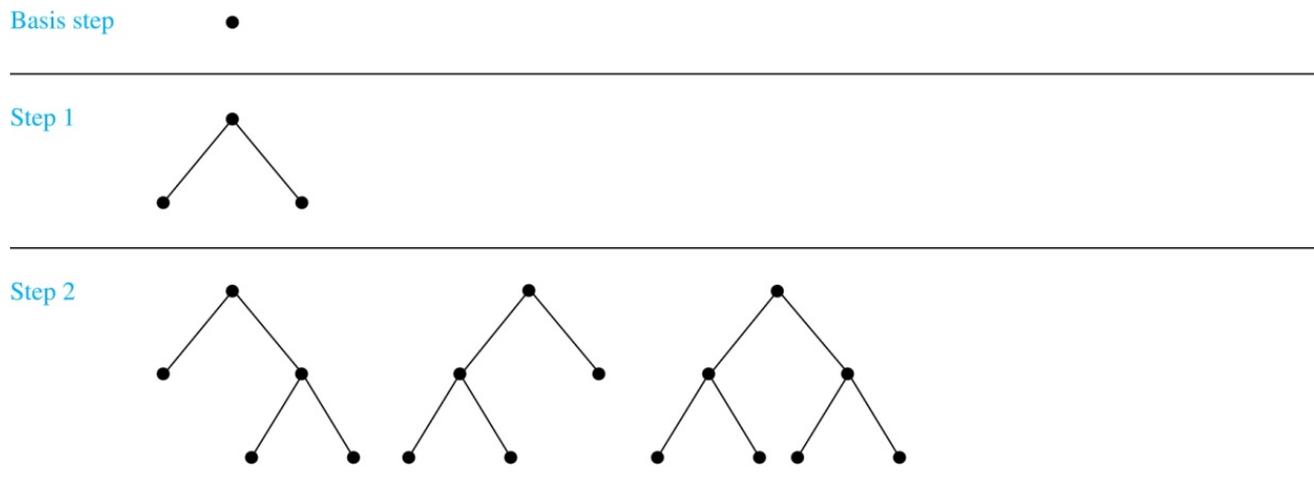
## Lecture 24: Recursive Algorithms



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# Recap Previous Lecture

- Recursion Definition
  - Basis Step: Specify the value of the function for the base case.
  - Recursive Step: Give a rule for finding the value of a function from its values at smaller integers greater than the base case.
- Structure Induction



# Outline

- Definition of Recursive Algorithms
- Several Recursive Algorithms
- Efficiency of Recursive Algorithms

# Outline

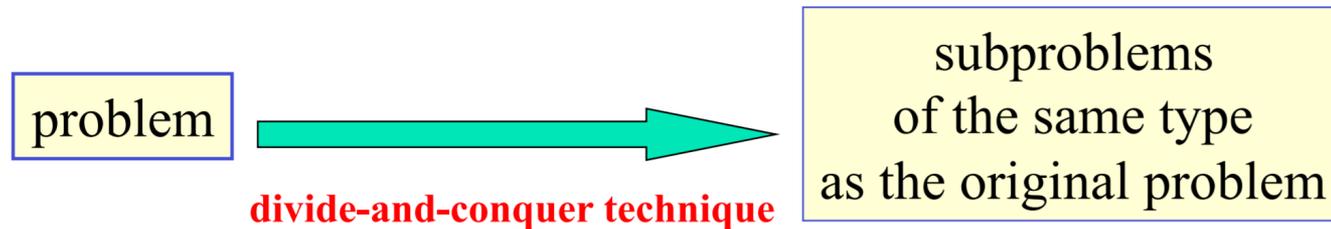
- **Definition of Recursive Algorithms**
- Several Recursive Algorithms
- Efficiency of Recursive Algorithms

# Recursive Algorithms

- Recursive definitions can be used to describe functions and sets as well as algorithms.
- A recursive procedure is a procedure that invokes itself.
- A recursive algorithm is an algorithm that contains a recursive procedure.
- An algorithm is called recursive if it solves a problem by reducing it to an instance of the same problem with smaller input.

# Example

- A procedure to compute  $a^n$ .  
**procedure** *power*( $a \neq 0$ : real,  $n \in \mathbb{N}$ )  
**if**  $n = 0$  **then return** 1  
**else return**  $a \cdot \text{power}(a, n-1)$



- ❑ Note recursive algorithms are often simpler to code than iterative ones...
- ❑ However, they can consume more stack space if your compiler is not smart enough

# Outline

- Definition of Recursive Algorithms
- **Several Recursive Algorithms**
- Efficiency of Recursive Algorithms

# Recursive Euclid's Algorithm

- $\text{gcd}(a, b) = \text{gcd}((b \bmod a), a)$

**procedure**  $\text{gcd}(a, b \in \mathbb{N}$  with  $a < b$  )

**if**  $a = 0$  **then return**  $b$

**else return**  $\text{gcd}(b \bmod a, a)$

1220 mod 516 = 188  
516 mod 188 = 140  
188 mod 140 = 48  
140 mod 48 = 44  
48 mod 44 = 4  
44 mod 4 = 0  
4 = GCD

# Recursive Linear Search

- {Finds  $x$  in series  $a$  at a location  $\geq i$  and  $\leq j$ }

**procedure** *search*

( $a$ : series;  $i, j$ : integer;  $x$ : item to find)

**if**  $a_i = x$  **return**  $i$  {At the right item? Return it!}

**if**  $i = j$  **return** 0 {No locations in range? Failure!}

**return** *search*( $a, i + 1, j, x$ ) {Try rest of range}

- Note there is no real advantage to using recursion here over just looping  
**for**  $loc := i$  to  $j...$
- Recursion is slower because procedure call costs

# Recursive Binary Search

{Find location of  $x$  in  $a$ ,  $\geq i$  and  $\leq j$ }

**procedure** *binarySearch*( $a, x, i, j$ )

$m := \lfloor (i + j)/2 \rfloor$  {Go to halfway point}

**if**  $x = a_m$  **return**  $m$  {Did we luck out?}

**if**  $x < a_m \wedge i < m$  {If it's to the left, check that .}

**return** *binarySearch*( $a, x, i, m-1$ )

**else if**  $x > a_m \wedge j > m$  {If it's to right, check that .}

**return** *binarySearch*( $a, x, m+1, j$ )

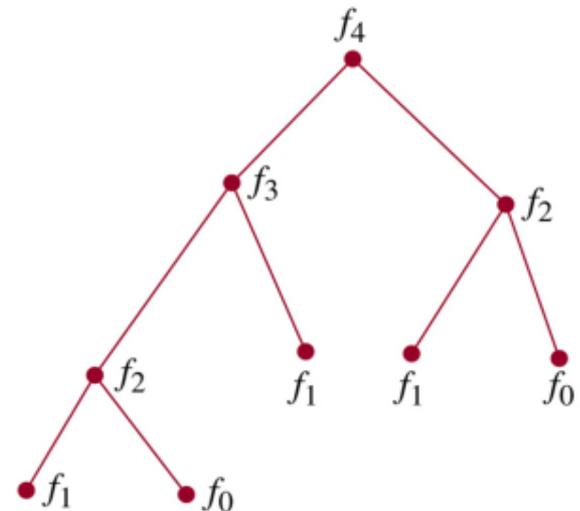
**else return** 0 {No more items, failure.}

# Recursive Fibonacci Algorithm

```
procedure fibonacci( $n \in \mathbf{N}$ )  
if  $n = 0$  return 0  
if  $n = 1$  return 1  
return fibonacci( $n - 1$ ) + fibonacci( $n - 2$ )
```

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- Is this an efficient algorithm?
- How many additions are performed?



# Analysis of Fibonacci Procedure

**Theorem:** The recursive procedure *fibonacci*( $n$ ) performs  $f_{n+1} - 1$  additions.

- **Proof:** By strong structural induction over  $n$ , based on the procedure's own recursive definition.
- **Basis step:**
  - *fibonacci*(0) performs 0 additions, and  $f_{0+1} - 1 = f_1 - 1 = 1 - 1 = 0$ .
  - Likewise, *fibonacci*(1) performs 0 additions, and  $f_{1+1} - 1 = f_2 - 1 = 1 - 1 = 0$ .

# Analysis of Fibonacci Procedure

## Inductive step:

$$\text{fibonacci}(k+1) = \text{fibonacci}(k) + \text{fibonacci}(k-1)$$

by  $P(k)$ :

$f_{k+1} - 1$  additions

by  $P(k-1)$ :

$f_k - 1$  additions

- For  $k > 1$ , by strong inductive hypothesis,  $\text{fibonacci}(k)$  and  $\text{fibonacci}(k-1)$  do  $f_{k+1} - 1$  and  $f_k - 1$  additions respectively.
- $\text{fibonacci}(k+1)$  adds 1 more, for a total of
$$(f_{k+1} - 1) + (f_k - 1) + 1 = f_{k+1} + f_k - 1$$
$$= f_{k+2} - 1.$$

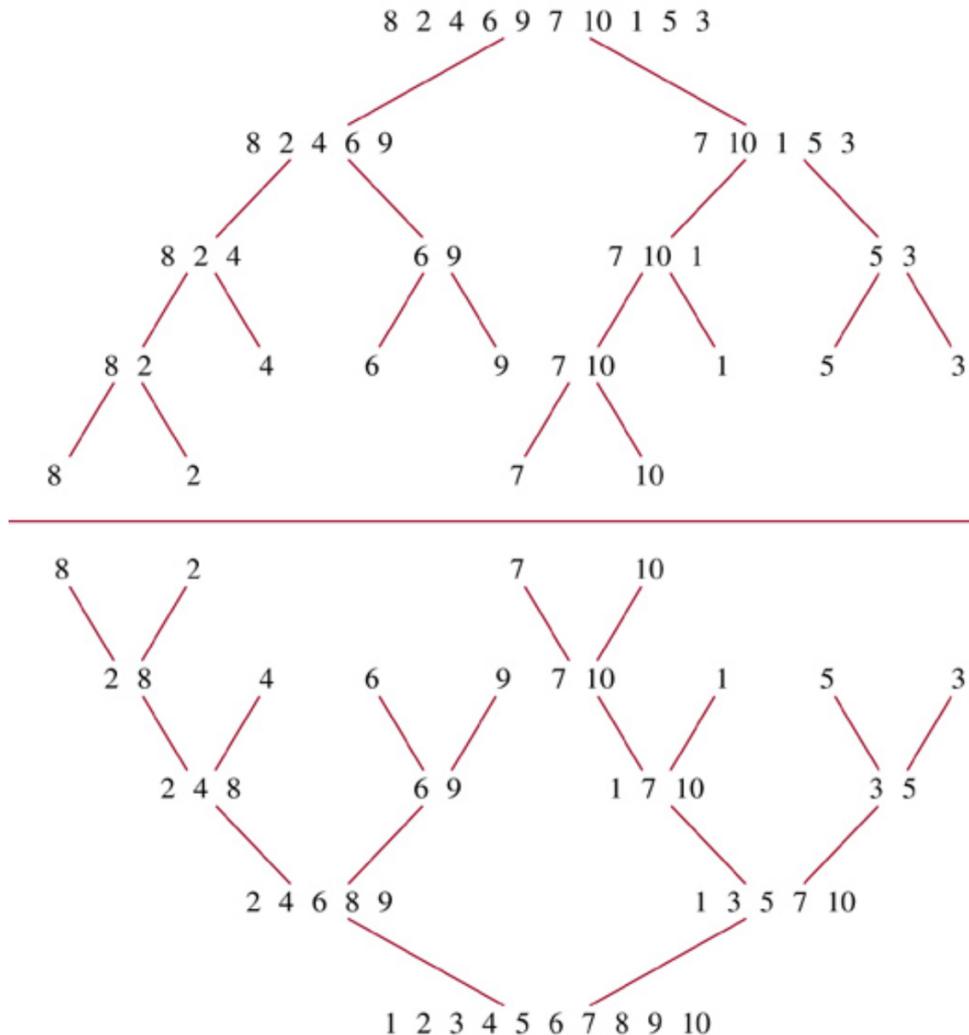
# Iterative Fibonacci Algorithm

- **procedure** *iterativeFib*( $n \in \mathbf{N}$ )
- **if**  $n = 0$  **then**
- **return** 0
- **else begin**
- $x := 0$
- $y := 1$
- **for**  $i := 1$  **to**  $n - 1$  **begin**
- $z := x + y$
- $x := y$
- $y := z$
- **end**
- **end**
- **return**  $y$  {the  $n$ th Fibonacci number}

Requires only  
 $n - 1$  additions

# Recursive Merge Sort Example

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**Split**

**Merge**

# Recursive Merge Sort

```
procedure mergesort( $L = 1, \dots, n$ )  
if  $n > 1$  then  
   $m := \lfloor n/2 \rfloor$  {this is rough  $1/2$ -way point}  
   $L1 := 1, \dots, m$   
   $L2 := m+1, \dots, n$   
   $L := \text{merge}(\text{mergesort}(L1), \text{mergesort}(L2))$   
return  $L$ 
```

- The merge takes  $\Theta(n)$  steps, and therefore the merge-sort takes  $\Theta(n \log n)$ .

# Merging Two Sorted Lists

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**TABLE 1** Merging the Two Sorted Lists 2, 3, 5, 6 and 1, 4.

<i>First List</i>	<i>Second List</i>	<i>Merged List</i>	<i>Comparison</i>
2 3 5 6	1 4		$1 < 2$
2 3 5 6	4	1	$2 < 4$
3 5 6	4	1 2	$3 < 4$
5 6	4	1 2 3	$4 < 5$
5 6		1 2 3 4	
		1 2 3 4 5 6	

# Recursive Merge Method

{Given two sorted lists  $A = (a_1, \dots, a_{|A|})$ ,  $B = (b_1, \dots, b_{|B|})$ ,  
returns a sorted list of all.}

**procedure** *merge*( $A, B$ : sorted lists)

**if**  $A = \text{empty}$  **return**  $B$  {If  $A$  is empty, it's  $B$ .}

**if**  $B = \text{empty}$  **return**  $A$  {If  $B$  is empty, it's  $A$ .}

**if**  $a_1 < b_1$  **then**

**return**  $(a_1, \text{merge}((a_2, \dots, a_{|A|}), B))$

**else**

**return**  $(b_1, \text{merge}(A, (b_2, \dots, b_{|B|})))$

# Outline

- Definition of Recursive Algorithms
- Several Recursive Algorithms
- **Efficiency of Recursive Algorithms**

# Efficiency of Recursive Algorithms

- The time complexity of a recursive algorithm may depend critically on the number of recursive calls it makes.
- **Example:** *Modular exponentiation* to a power  $n$  can take  $\log(n)$  time if done right, but linear time if done slightly differently.
- Task: Compute  $b^n \bmod m$ , where  $m \geq 2$ ,  $n \geq 0$ , and  $1 \leq b < m$ .

# Modular Exponentiation #1

- Uses the fact that  $b^n = b \cdot b^{n-1}$  and that  $x \cdot y \bmod m = x \cdot (y \bmod m) \bmod m$ .

(Prove the latter theorem at home.)

**{Returns  $b^n \bmod m$ .}**

**procedure** *mpower*

*(b, n, m: integers with  $m \geq 2$ ,  $n \geq 0$ , and  $1 \leq b < m$ )*

**if**  $n=0$  **then return** 1 **else**

**return**  $(b \cdot \text{mpower}(b, n-1, m)) \bmod m$

- Note this algorithm takes  $\Theta(n)$  steps!

# Modular Exponentiation #2

- Uses the fact that  $b^{2k} = b^{k \cdot 2} = (b^k)^2$
- Then,  $b^{2k} \bmod m = (b^k \bmod m)^2 \bmod m$ .

```
procedure mpower(b,n,m) {same signature}  
if n=0 then return 1  
else if  $2 \mid n$  then  
  return mpower(b,n/2,m)2 mod m  
else return (b · mpower(b,n-1,m)) mod m
```

- What is its time complexity?  $\Theta(\log n)$  steps

# A Slight Variation

- Nearly identical but takes  $\Theta(n)$  time instead!

```
procedure mpower(b,n,m) {same signature}  
if n=0 then return 1  
else if  $2|n$  then  
return (mpower(b,n/2,m)·  
mpower(b,n/2,m)) mod m  
else return (mpower(b,n-1,m)·b) mod m
```

The number of recursive calls made is critical!

# Next class

- Topic: Recursive Algorithms and Basic Counting Rules
- Pre-class reading: Chap 5.4 and Chap 6.1

